



## Legislation Details (With Text)

**File #:** 19-1303      **Version:** 1      **Name:**

**Type:** CONSENT AGENDA      **Status:** Approved

**File created:** 8/21/2019      **In control:** Office of Procurement Services

**On agenda:** 9/25/2019      **Final action:**

**Title:** Authorize a three-year cooperative subscription agreement for licensing for an auditing, governance, risk, and compliance software solution for the Department of Communication and Information Services with ACL Service Ltd. through the Department of Information Resources cooperative agreement - Not to exceed \$300,000 - Financing: Data Services Funds (subject to annual appropriations)

**Sponsors:**

**Indexes:** 100

**Code sections:**

**Attachments:** 1. Resolution

Date	Ver.	Action By	Action	Result
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**STRATEGIC PRIORITY:** Government Performance and Financial Management

**AGENDA DATE:** September 25, 2019

**COUNCIL DISTRICT(S):** All

**DEPARTMENT:** Office of Procurement Services

**EXECUTIVE:** Elizabeth Reich

### SUBJECT

Authorize a three-year cooperative subscription agreement for licensing for an auditing, governance, risk, and compliance software solution for the Department of Communication and Information Services with ACL Service Ltd. through the Department of Information Resources cooperative agreement - Not to exceed \$300,000 - Financing: Data Services Funds (subject to annual appropriations)

### BACKGROUND

This cooperative subscription agreement provides licensing for an auditing, governance, risk, and compliance software solution for the Department of Communication and Information Services. This software allows for a data driven approach to audit, risk, compliance, and financial controls monitoring. The software provides data integration across identified City enterprise systems, enabling process automation and advanced analytics. This system will also provide the City with the ability to monitor and maintain risk and compliance efforts as required under current regulations. In addition, this technology will allow the City Controller's Office to quickly identify fraudulent financial activities

and necessary audit controls information.

Strategically the software solution offers:

- Strategy to aligned with and supports the City’s fiscal and compliance missions
- Operations to improve efficiency, monitoring, and resource utilization
- Financial improve reliability of operational and financial reporting
- Compliance validation of and documentation of compliance with applicable laws and regulations

The software allows security, auditors, risk management, and financial leaders to understand and communicate the City’s full information technology risk posture through common functions. Such common functions include asset inventory, requirements and controls mapping, survey capabilities, workflow functions and data analytics. In addition, the technology improves monitoring of fraud and waste and abuse of fiduciary duties, which subsequently assists the City Auditor’s Office with the ability to improve monitoring of internal controls and reporting.

The software allows for new and enhanced processes, including:

- Organize and manage regulatory requirements and policies in a central location
- Management for compliance-based activities and tracking
- Tracking and reporting of security and compliance risks
- Definition and mapping of industry frameworks to meet control standards
- Automation of testing and monitoring of controls
- Prioritize risks based on risk appetite and impact
- Delegate compliance assessments with data automation and questionnaires
- Tracking and reporting of controls for multiple departments, reducing duplicated efforts

### **PRIOR ACTION/REVIEW (COUNCIL, BOARDS, COMMISSIONS)**

This item has no prior action.

### **FISCAL INFORMATION**

Data Services Fund - \$300,000.00 (subject to annual appropriations)

Fund	FY 2019-20	FY 2020-21	FY 2021-22
Data Services Fund	\$100,000.00	\$100,000.00	\$100,000.00
Total	\$100,000.00	\$100,000.00	\$100,000.00

### **M/WBE INFORMATION**

In accordance with the City’s Business Inclusion and Development Plan adopted on October 22,

2008, by Resolution No. 08-2826, as amended, the M/WBE participation on this contract is as follows:

Contract Amount	Category	M/WBE Goal	M/WBE %	M/WBE \$
\$300,000.00	CO-OP	N/A	N/A	N/A
• The Business Inclusion and Development Plan does not apply to Cooperative Purchasing Agreements (CO-OPs).				

## **PROCUREMENT INFORMATION**

Method of Evaluation for Award Type:

Cooperative Agreement	<ul style="list-style-type: none"><li>• Cooperative agreements enable the City to associate with State agencies, other local governments, or local cooperative organizations comprised of other state and local governments, to leverage market buying power and enable the City to purchase goods or services at lower prices</li><li>• The cooperative agreement is an alternative method of meeting the requirements for competitive bidding or competitive sealed proposals, not an exception from that requirement</li></ul>
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## **OWNER**

### **ACL Services Ltd.**

Laurie Schultz, President  
Dan Zitting, Chief Customer Experience Officer